

# DECENTRALIZATION GRANTS PROGRAM for BROOME, CHENANGO, and OTSEGO COUNTIES Application Guidelines 2018

## **History of the Program:**

The NYS Council on the Arts established the Decentralization Program (DEC) in 1977 to foster the continued development of local cultural resources responsive to community need. DEC serves all of New York's 62 counties, including areas that are geographically isolated, economically disadvantaged, and ethnically diverse. The basic principle of DEC funding is to utilize local decision-making and use a peer panel evaluation process. The Chenango Arts Council has administered the DEC Program since 1986, promoting arts and cultural events that creatively reflect the unique qualities, resources, and needs of the communities we serve.

The Decentralization Grants Program (DEC) offers support for the Arts and Arts Education in the following categories:

<u>Community Arts (CA) Grants</u>: Known as "traditional" or "classic" DEC grants, CA grants provide support for arts and cultural projects to community-based organizations, groups, collectives, or individual artists. Individual artists must apply for a CA grant for a community-based project in partnership with a local nonprofit organization. CA support enables emerging artists and organizations to grow professionally and to enhance the cultural vibrancy in communities and neighborhoods where they live and work. CA grants provide support to develop, foster, promote, enhance, and increase community arts that address current cultural needs in Broome, Chenango and Otsego counties.

Arts Education (AE) Grants: AE grants offer two funding categories: (1) K-12 In-School Projects and (2) After-School & Community-Based Learning. Funds can support arts education projects that take place in-school during the school day and/or in after-school and community-based settings for youth and/or senior learners. Emphasis is placed on the depth and quality of the creative process through which participants learn about the arts. Projects must focus on the exploration of art and the artistic process. Inter-curricular collaboration for in-school projects is encouraged but not required. Projects must provide: Sequential, skills-based study that incorporates one or more art forms and includes a minimum of three (3) sequential hands-on learning sessions; In-depth, age and skills appropriate learning opportunities; Hands-on, participatory creation and/or learning opportunities in one or more art forms that may culminate in exhibitions, productions or demonstrations; Stated learning goals, methodologies and outcomes and a means for evaluation.

#### **ELIGIBILITY**

- Applicant organizations must be able to document proof of nonprofit status.
- Applicant organizations must have an active board of directors or similar body charged with oversight of the organization that meets regularly.
- Applicant artists must reside in Broome, Chenango, or Otsego counties and must partner with a qualified nonprofit organization if applying for a Community Arts grant.
- Applicant artists must reside in Broome, Chenango, or Otsego Counties *and* must partner with a local public school if applying for an Arts Education grant for an in-school or after-school and community-based project.
- All funded activities must take place within the same county as the applicant's legal address.

#### **DEC DOES NOT FUND**

- Past grant recipients that have failed to submit final reports
- Artists and unincorporated applicants not applying in partnership with an eligible non-profit organization (CA)
  or public school (AE)
- Organizations and artists applying for or receiving funds directly from the New York State Council on the Arts in the same contract timeline cycle
- Non-incorporated chapters of organizations whose "parent" is incorporated outside of Broome, Chenango or Otsego counties
- Start-up or "seed" funding for the establishment of a new organization
- Public, private, parochial secondary and elementary, or home schools, or the creation of textbooks or nonproject related classroom material
- Arts activities not open to the general public (CA)
- New York State agencies and departments, including SUNY schools and projects involving partners that apply directly to NYSCA
- Activities or projects that are not related to the Arts (including magic, balloons, clowns, etc.)
- Equipment or capital expenditures (including acquisitions of works of art)
- Projects that are recreational, rehabilitative, therapeutic, or religious in nature, or at-risk/social service programs with a focus in these areas
- General operating expenses, contingency funds, or operating expenses of privately owned facilities (homes, studios)
- Entertainment expenses or costs not directly related to the Arts (i.e. galas, receptions/food/catering, awards/prizes, scholarships, contests, fundraising benefits, balloons, clowns, etc.)
- Re-grants by applicants to fund other activities
- Activities in venues that do not comply with all safety and accessibility standards
- Fees to children or their use as professional artists
- Lobbying expenses

## **FUNDING PRIORITIES**

- Artist's and teaching artist's fees
- Activities of local arts and cultural organizations
- Marketing and publicity costs
- Direct project-related supplies, materials, and administrative costs
- K-12 AE in-school and after-school AE community-based learning programs

#### **REVIEW CRITERIA**

Review panels consist of community representatives reflecting the artistic, geographic, and demographic ranges of Broome, Chenango, and Otsego counties. The panels will evaluate applications according to the following criteria:

- Artistic merit and quality of the proposed project
- General community/student benefit generated by project
- Clearly defined, planned objectives and the demonstrated ability to achieve those objectives through an assessment component
- Organization/artist history, including stability, record of achievement, and fiscal accountability
- Other available support, whether monetary, in-kind, or other

## Greater consideration will be given to projects that:

- Support local artists and nonprofit arts and cultural organizations
- Indicate community collaborations and the use of community resources
- Provide interactive projects and events that involve public engagement and participation
- Have a strong outreach and marketing plan, with efforts to reach and serve diverse audiences

- Target affordable (low cost or free) and accessible family, youth, and senior-oriented live cultural experiences
- Promote historically significant projects that increase public awareness of local history
- Promote cultural activities by smaller groups with new ideas presently under-represented in Broome,
   Chenango, or Otsego Counties, especially in under-served areas of each county (CA)
- Encourage creativity and original thinking with hands-on learning, preferably focused on local culture (AE)
- Promote skill-building and teach students how to make a practical living in the commercial arts field through exposure to teaching/professional artists so they can engage more freely with these individuals about their work, their livelihoods, training, and other aspects that support a career in the arts (AE)
- Clearly demonstrate collaboration and planning efforts between the school/teacher(s) and artist(s) (AE)
- Projects that involve faculty/family and connect students to their own communities (AE)
- Projects that reinforce mandated curricula and trigger interest in both the arts and subject matter (AE)
- Indicate cash or in-kind support greater than 25% of the total project expenses
- Celebrate diversity in the community
- Have the potential to reach large audiences and support a sense of community belonging

#### **FUNDING LIMITATIONS**

- All projects must take place between January 1 and December 31, 2018.
- The minimum grant amount eligible for support is \$500, and the maximum is \$5000.
- Requested funding is not meant to replace other local funds, or replace school funding for the arts.
- Applicants may submit up to three applications between the two funding categories (CA, AE), with the combined total request not to exceed \$5,000.
- Decentralization funds for both CA and AE projects should fund no more than 75% of a project's total expenses. However, applicants are strongly encouraged to keep their requests to 50% of the total project cost and utilize other sources of funding to cover the other project costs. These costs may be met through planned in-kind contributions, cash income, or a combination of both.
- Previous DEC funding does not ensure support in any succeeding year. Each application is reviewed anew in the context of current priorities, review criteria, and resources available.

## THE PROCESS - READ CAREFULLY!

- All applicants intending to apply for 2018 funding must notify the Council with intent to apply by sending an e-mail to <a href="mailto:chris.hoffman@chenangoarts.org">chris.hoffman@chenangoarts.org</a>, noting "Intent to Apply" in the subject line, and indicating which category of funding is being applied for (CA or AE, or both).
- Information seminars will be held in August and September at locations in Broome, Chenango, and Otsego counties. Dates and locations will be available on the CAC website at <a href="www.chenangoarts.org">www.chenangoarts.org</a> by August 1. Please call the Council at 607-336-2787 to register for a seminar near you. Each seminar must have a minimum of 5 registrants to take place. Individual assistance is also available by request.
- A pre-submission technical review of applications is available by e-mail through *FRIDAY*, *DECEMBER 15. After* this date, applicants may no longer request technical help. Applicants who wish to have a technical review should send their draft application as an email attachment to <a href="mailto:chris.hoffman@chenangoarts.org">chris.hoffman@chenangoarts.org</a>.
- All applications must be typed and signed. The application can be found as a Word doc and as a pdf file on the CAC website at <a href="http://www.chenangoarts.org/grants/grants.html">http://www.chenangoarts.org/grants/grants.html</a>.
- DO NOT STAPLE applications or attachments. Use paper clips, as necessary.
- DO NOT use any kind of bindery, folders, or sheet holders.
- Submit ONLY ONE ORIGINAL COPY with all attachments. DO NOT SUBMIT ADDITIONAL COPIES.
- Applications must be received or postmarked by Wednesday, January 10, 2018, at 5 pm. Applications should be mailed or delivered to the Chenango Arts Council, 27 West Main Street, Norwich, NY 13815.
- Faxed, handwritten, *incomplete*, or late applications will be removed from consideration. *There are no exceptions, so review your application before submitting it.*

- Organizations applying for artist fees through a CA grant must provide a letter of commitment/contract, including specified fee amount and performance date, signed by the sponsoring organization and artist. A copy of artist resumes/biographies is required.
- An individual artist or collective may apply directly for CA or AE funds if working in partnership with a community-based nonprofit organization or public school that meets the listed eligibility criteria. A letter of commitment/contract from the community-based nonprofit or public school partner confirming the partnership with the applicant artist is required. The commitment letter/contract must outline the scope of the partnership and each partner's investment or contribution (in-kind and/or cash) towards the proposed project, and must be signed by the artist, an officer of the partnering organization, and/or school principal. A copy of organization history/promotional information and/or artist resumes/biographies must be included.
- Organizations applying to work in partnership with a school through an AE grant must submit a letter of
  commitment/contract from the school, confirming the partnership with the applicant organization. The
  commitment letter/contract must outline the scope of the partnership and each partner's investment or
  contribution (in-kind and/or cash) towards the proposed project, and must be signed by an officer of the
  partnering organization and school principal. A copy of organization history/promotional information and/or
  artist resumes/biographies must be included.

## **REQUIREMENTS - All grant recipients will be required to:**

- Sign a contract at an Awards Ceremony on Thursday, April 12, 2018, 5pm. *Grant notifications will be sent mid-March*.
- Credit the Chenango Arts Council and the Stewart W. & Willma C. Hoyt Foundation (Broome County
  recipients only, if applicable), and use logos on all promotional materials. Proper credit line information and
  logos are available on our website at <a href="https://www.chenangoarts.org">www.chenangoarts.org</a>, or by request.
- Submit a Final Report due <u>30 days after the completion of the funded project</u>, including copies of promotional and advertising materials regarding the project.
- Provide two complimentary tickets to the funded event so that an auditor may attend (unless the event is free).
- Attend another grant recipient's event and submit an audit report describing that event to the Chenango Arts Council. Final and audit report forms are available at <a href="https://www.chenangoarts.org">www.chenangoarts.org</a>.

## **ATTACHMENTS**

Organizations asking for support for artist's fees through a CA grant must provide a copy of a contract or letter of agreement, including specified fee amount(s) and intended performance date(s), **signed by** the sponsoring organization and artist(s). Applicants must attach a resume/biography for each artist.

Artists applying for CA or AE funds working in partnership with a community-based nonprofit organization or public school must submit a letter of commitment or contract from the community-based nonprofit or public school partner. The commitment letter/contract must outline the scope of the partnership and each partner's investment or contribution (in-kind and/or cash) towards the proposed project, and *must be signed* by the artist, an officer of the partnering organization, and/or the school principal.

Organizations applying to work in partnership with a school through an AE grant must submit a letter of commitment/contract from the school confirming the partnership with the applicant organization. The commitment letter/contract must outline the scope of the partnership and each partner's investment or contribution (in-kind and/or cash) towards the proposed project, and *must be signed* by an officer of the partnering organization *and* the school principal.

ATTACHMENTS CHECKLIST – Your application will be considered incomplete and therefore ineligible for consideration without the following attachments:

|   | Proof of your organization's nonprofit status, or if you are an artist applicant, your partnering organization's nonprofit status (one of the following):  |
|---|--|
| _ | US Internal Revenue Service 501c3 letter (NOT your sales tax exemption form)  NYS Board of Regents Charter, Section 216 of the Education Law  Bureau of Charities filing receipt  Unit of Local Government  Certificate of Incorporation, Section 402 NFP Law  |
|   | A list of the Board of Directors of your organization or your partnering organization  |
|   | A signed letter of commitment or contract between artists, collectives, organizations, and schools confirming their partnership for CA and/or AE projects outlining the scope of the partnership and each partner's investment or contribution (in-kind and/or cash)   |
|   | A signed agreement/contract confirming permission to use a specific venue for your project   |
|   | A history of your organization, artists' resumes/biographies, and promotional materials  |
|   | No more than four (4) pieces of supplemental material relevant to the application <i>may</i> be included (photos, press clippings, web pages, flyers, etc.) For AE projects, recommended support materials include lesson plans, evaluation plan, participant evaluation forms, and letters of recommendation. |

DEC forms are available on-line at www.chenangoarts.org.

DEC guidelines and application are available in large print upon request.

## **APPEALS PROCESS**

While the Council of the Arts takes great care in its evaluation of proposed projects and allocation of funds, we recognize that occasionally there may be grounds to appeal the Review Panel's decision.

There are three grounds for an appeal: (1) Non-presentation of material information by staff or panelist; (2) misrepresentation of material information by staff or panelist; and (3) Improper procedure: This is a contention by the applicant group that the review by the Review Panel was biased or the decision by the Review Panel, given the Review Panel's discretionary authority and the information available to it, was arbitrary and capricious.

To challenge a decision by the Review Panel, organizations may appeal a decision within ten (10) days of the postmarked date of the denial letter by calling the DEC Program Manager. If after discussing the rationale of the Review Panel with the DEC Program Manager, an applicant feels the process was carried out incorrectly based on one or more of the three grounds, the applicant must write a formal letter to the Executive Director of the Chenango Arts Council requesting the decision be appealed, citing the specific grounds for the appeal. The letter must be dated within ten (10) days of the phone call with the DEC Program Manager.

This written appeal will be reviewed by a special independent Appeals Panel fully knowledgeable with the grants process. The independent Appeals Panel should not be construed as a "second opportunity" for funding. The

Appeals Panel is not empowered to override the judgment of the Review Panel and/or the Council of the Arts Board of Directors concerning the quality of a particular application or project.

The sole function of the independent Appeals Panel is to ensure that the applicant organization has been given full and fair consideration in the review process. Should the Appeals Panel determine that there are sufficient grounds for an appeal, the request will be sent to the original Review Panel for re-evaluation. In the case of improper procedure or bias as the grounds for an appeal, the request will be taken to the full Board of Directors of the Chenango County Council of the Arts for final determination. The applicant's dissatisfaction with a denial of an award or with the grant amount are not sufficient reasons for an appeal.

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Funding of an application which has been appealed and subsequently approved is contingent upon availability of funds.